



Michael R. Pence, Governor
State of Indiana

Division of Disability and Rehabilitative Services
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To: DDRS Providers, Families, Advocates, Stakeholders

From: Cathy Robinson, Director, Bureau of Developmental Disabilities Services

Date: July 22, 2016

Re: Home and Community Based Settings required assessment of non-residential service settings

In March 2014, the Centers for Medicare and Medicaid Services (CMS) implemented a final rule related to Home and Community Based Settings (HCBS). This final rule requires that states assess all residential and non-residential settings which receive funding or payment through an approved HCBS waiver. The purpose of the final rule is to ensure that individuals receiving services are provided personal choice and control over the services in which they participate. The goal is to provide individuals the opportunity to achieve integration into their local community in the manner, and to the degree, which the individual chooses. This includes opportunities to seek employment, work in competitive and integrated settings, engage in community life, control personal resources and receive services in the community to the same degree as people who do not receive HCBS.

As part of [Indiana's Statewide Transition Plan](#) (STP) to ensure full compliance with the HCBS Final Rule, the Division of Disability and Rehabilitative Services (DDRS) completed an initial assessment of residential settings through the [Indiana Individual Experience Survey](#) with results released on June 28, 2016, to all stakeholders in the system.

Continuing with the next assessment phase of the STP, DDRS will begin reviewing non-residential HCB day services settings by utilizing an online self-assessment that will provide a means for providers to upload documentation such as provider- specific policies and procedures to support compliance with the HCBS Final Rule. This self-assessment will also identify any non-compliant areas that will require modifications and allow DDRS to work with those providers to create provider-specific plans to bring each setting into full compliance. Indiana's transition plan specifies that non-residential providers demonstrate full compliance with the final rule by June 2018. These self-assessments are only intended to measure providers' initial compliance with the HCBS rule; ongoing efforts are anticipated to assist providers to meet full HCBS compliance standards.

Completion of the online self-assessment is mandatory for all non-residential day service providers as is attendance to one of the below scheduled webinars trainings. The scheduled trainings will instruct day services providers on how to complete the assessment and answer any questions on the process. Additional registration information will be sent to providers of non-residential services. The assessments will help DDRS identify provider technical assistance and support needs to become compliant with the HCBS rule.



Webinar training dates and times:

Date	Time
August 8, 2016	10 a.m. –noon EST
August 8, 2016	1 p.m.- 3 p.m. EST
August 9, 2016	1 p.m.- 3:00 p. m. EST